

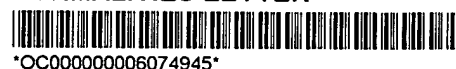
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/815,981	03/22/2001	de Jong	24601-416B

CONFIRMATION NO. 7622

## FORMALITIES LETTER



\*OC00000006074945\*

Stephanie Seidman  
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4250 Executive Square, 7th Floor  
La Jolla, CA 92037

Date Mailed: 05/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- The paper or compact disc copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e). Applicant must provide a substitute paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application OR a substitute computer readable form (CRF) copy of the "Sequence Listing". These two items must be the same. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

06/05/2001 JADD01 00000050 09815981

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For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SECTOR  
\$

Applicant: deJong *et al.*

Appln. No.: 09/815,981

Filed: March 22, 2001

For: METHOD FOR DELIVERING NUCLEIC ACID  
MOLECULES INTO CELLS AND  
ASSESSMENT THEREOF

Art Unit: Unassigned

Examiner: Unassigned

I hereby certify that this paper and the attached  
papers are being deposited with the United States  
Postal Service as first class mail in an envelope  
addressed to:  
Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231, on this date.

6/1/2001

Date

  
Lynn Morkunas

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts mailed **May 14, 2001**, the  
following documents are submitted herewith:

- 1) A copy of the Notice to File Missing Parts;
- 2) One (1) Executed Declaration for Patent Application;
- 3) Two (2) Assignments from the inventors to **CHROMOS MOLECULAR  
SYSTEMS, INC.** along with a Recordation Form Cover Sheet;
- 4) A check in the amount of \$105 which includes the \$65 small entity  
surcharge, and \$40 assignment recordation fee; and
- 5) Return Postcard.

Since an executed Small Entity Status (37 C.F.R. §§1.9(f)) is claimed the fees  
have been reduced to one-half.

U.S.S.N. 09/815,981  
DE JONG *et al.*  
MISSING PARTS

- ☒ The Commissioner is hereby authorized to charge any fee, including any submitted herewith if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted,  
HELLER EHRMAN WHITE & McAULIFFE LLP

By: 

Stephanie Seidman  
Registration No. 33,779

Attorney Docket 24601-416B  
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